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Application Number 10/014,318 November 9, 2001 **Filing Date First Named Inventor** Pallavicini, Maria G. 2002 **Group Art Unit** 1653 Not yet assigned **Examiner Name** 1600/2900 02307O-120900US **Attorney Docket Number**

6/17/02

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group				
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information				
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):				
Express Abandonment Request	☐ Terminal Disclaimer ☐ Request for Refund	Return Postcard; Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 CFR 3.71; Statement Under 37				
Information Disclosure Statement	CD, Number of CD(s)	CFR 3.73(b) (SB/96); copy of Recordation Form Cover Sheet and Assignment				
Certified Copy of Priority Document(s)		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Townsend and Crew LLP						
and Jean M. Lockyer, Ph.D. Reg. No. 44,879						
Signature						
Date June17, 2002						
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By Willi Newell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MARIA G. PALLAVICINI and BRIAN P. MULLANEY

Serial No.: 10/014,318

Filed: November 9, 2001

For:

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POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; M. Henry Heines, Reg. No. 28,219; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to

MARIA G. PALLAVICINI and BRIAN P. MULLANEY Page 2

Attorney Docket No.: 02307O-120900

UC Case No. 1997-115-1

transact all business in the United States Patent and Trademark Office connected therewith, said h 37

appointment t	to be to	the exclusion of the is	nventors and their attorney(s) in accordance with 37
CFR § 3.71.	•		
	An as	ssignment of the entire	interest in the above-identified subject application:
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relative to said		e direct all telephone c	calls to Jean M. Lockyer and all correspondence g address:
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		ASSIGNEE:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
		Signature: Typed Name: Title:	Linda S. Stevenson Manager, Patent Prosecution Office of Technology Transfer 1111 Franklin Street, 13th Floor Oakland, CA 94607-5200
Dated:	/14/0	2	

rev. 6/21/01 SF 151310 v1 SF 151310 v1

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Attorney Docket No. 02307O-120900US

STATEM	ENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Maria G. Pallavicini an	d Brian P. Mullaney				
Application No./Patent No.: 10/014,318 Filed/Issue Date: November 9, 2001					
Entitled: IDENTIFICATION OF EXPRESSED G					
The Regents of the University of California	, a California corporation				
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university,	government agency, etc.)			
states that it is:					
1. X the assignee of the entire right, title	, and interest; or				
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The undersigned (whose title is supplied below)	is authorized to act on behalf of the assignee.				
6/14/02	Linda S. Stevenson				
Date	Typed or printed name	Hevenson	_		
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Yes

No. Street Address: 1111 Franklin Street 3. Nature of conveyance: State: CA City: Oakland ☐ Merger ☐ Change of Name ☐ Security Agreement Additional names and addresses attached? ☐ Yes ☒ No ☐ Other: _ RECEIVED Execution Date: May 10 and 15, 2002 4. Application number(s) or patent number(s). JUN 2 8 2002 If this document is being filed together with a new application, the execution date of the application is: TECH CENTER 1600/2000 Patent Application No(s): 10/014,318 В. Patent No(s): Additional numbers attached? ☐ Yes ☒ No 6. Total number of applications and patents involved 1 Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41): -----\$40.00 Jean M. Lockyer TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor ☐ Enclosed San Francisco, California 94111-3834 Authorized to be charged to deposit account (415) 576-0200 8. Deposit account number: 20-1430 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. May 28, 2002 Jean M. Lockyer Name of Person Signing Date Atty. Reg. No. 44,879 Total number of pages including cover sheet, attachments and document

Mail documents t be recorded with required c ver to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

TTC Attorney Docket No. <u>02307O-120900US</u>

ASSIGNMENT

U.C. Case No <u>1997-</u>	<u>115-1 </u>				
For good and valuable	e consideration, the receipt of wh	nich is hereby ack	nowledged, ASSIGNOR(S),		
1. Maria G. Pallavicini		2. <u>Brian P.</u>	2. Brian P. Mullaney		
3		4			
Corporation, having 5200, and the succes	ts statewide administrative officesors, assigns and legal represe territorial possessions and in al	es located 1111 F Intatives of the AS	e University of California, a California Franklin St., 12 th Floor, Oakland, CA 94607- SSIGNEE all of its right, title and interest for the s in and to, any and all improvements which are		
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and which is found in					
(a)	U.S. provisional application file	d herewith and lis	sting the above-named persons as inventors		
(b)	U.S. patent application filed herewith and listing the above-named persons as inventors				
(c) <u>XX</u>	U.S. application serial no. 10/014,318, filed on November 9, 2001				
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